



## **Test Security Agreement Level 3 2020-2021**

**Level 3 Testing Personnel:** Building Test Coordinators, School Coordinators, Site Coordinators, Site Technology Staff, Other Site Support Staff

School and district personnel responsible for test administration shall read and sign a Test Security Agreement (TSA), on a form provided by the Alaska Department of Education & Early Development, affirming that they will follow the test administration procedures required by the Alaska Department of Education & Early Development and the test publisher, if applicable.

- **Test security regulations apply to all statewide assessments required by the Statewide Student Assessment System, 4 AAC 06.710.**
- **All district employees involved in testing must read and follow all testing procedures and manuals published by the test publisher per regulation 4 AAC 06.765(f)(3).**
- These regulations apply to both paper-based assessments and computer-based assessments; all testing personnel are required to maintain the same standards of security for both modes of assessment.
- This form must be signed annually and must be on file prior to receiving any test materials and/or administering any tests. 4 AAC 06.761(c); 4 AAC 06.765(f)(1).
- Test Administration and Test Security Regulations can be found on pages 7-9 of this document.

### **Directions:**

- Please fill out the identification section accurately, indicating all applicable roles.
- Read each statement carefully and initial each line to indicate that you agree to follow these procedures in the context of your role(s).
- Please sign your full name and date the form.
- **Submit this form to your District Test Coordinator.**

**Identification**

Name (Last, First): \_\_\_\_\_

District: \_\_\_\_\_

School/Site: \_\_\_\_\_

Responsibility Level	Testing Role (check all that apply)
Level 3: Site/School/Building	<input type="checkbox"/> Building Test Coordinator (BTC) (PEAKS) <input type="checkbox"/> School Coordinator for English Language Proficiency Assessments (ACCESS for ELLs and Alternate ACCESS) <input type="checkbox"/> Site Coordinator for Alternate Assessment (DLM) <input type="checkbox"/> Site Technology Staff <input type="checkbox"/> Other Site-level Support Staff

**Note: If a district employee has a signed Level 1 or 2 TSA on file it is not necessary to sign a Level 3 TSA.**

Read and initial each line below. By initialing I am verifying that I have read and agree to follow these procedures as they apply to my district testing personnel duties.

<b><u>Testing Schedule and Location – Completion of this section is required for all roles</u></b>
<input type="checkbox"/> A district shall administer an assessment only in a designated school test center. 4 AAC 06.761(b) <input type="checkbox"/> Each school test center must be secure, free of disruptions, have an established seating arrangement, and be well lighted. 4 AAC 06.761(b) <input type="checkbox"/> Only designated district staff may be in the test center rooms at the time of testing students. 4 AAC 06.761(b) <input type="checkbox"/> State assessments must be given only on school days in session as described in AS 14.03.040. <input type="checkbox"/> State assessments must be given only during the dates and times specified by the assessment window established by the department. 4 AAC 06.765(b)(1)
<b><u>Training for Testing Personnel – Completion of this section is required for all roles</u></b>
<input type="checkbox"/> Only trained employees of the school district may participate in test administration. 4 AAC 06.761, 4 AAC 06.765(f)(2) <input type="checkbox"/> School and district personnel responsible for test administration shall provide training in test procedures to all district staff involved in testing as directed by the department, and ensure that employees complete the training. 4 AAC 06.765(f)(2) <input type="checkbox"/> All staff participating in statewide assessment administration will attend district training and complete all required activities. 4 AAC 06.765(f)(2)

Read **and initial** each line below. *By initialing I am verifying that I have read and agree to follow these procedures as they apply to my district testing personnel duties.*

**Test Materials Security – Completion of this section is required for all roles**

\_\_\_\_ School and district personnel responsible for test administration shall control the storage, distribution, administration, and collection of secure materials at all times and in all locations. 4 AAC 06.765(c)(2-4)

\_\_\_\_ School and district personnel responsible for test administration shall label the tests according to test administration directions before testing. 4 AAC 06.765(d)(1)

\_\_\_\_ School and district personnel responsible for test administration shall ensure that no test or test question is copied in any manner, whether on paper or by electronic means. 4 AAC 06.765(c)(5)

\_\_\_\_ School and district personnel responsible for test administration shall inventory and track secure materials, securely store materials, and maintain control over the materials from the time the materials arrive at the district office or school until the time the materials are returned to the test publisher. 4 AAC 06.765(c)(1)-(d)(4)

This includes:

- upon arrival in the district,
- when transferred from district to site(s),
- when distributed to test administrators within the sites,
- when distributed to students within rooms,
- when collected at the end of each session,
- when collected within a site,
- when transferred from site(s) to district office, and
- when returned to the test publisher.

**NOTE:** *Application of these regulations to computer-based assessments includes, but is not limited to, the following examples:*

- *“Controlling the storing, distribution, administration, and collection of secure materials” includes, but is not limited to, secure storing of the assessment on a local caching server, secure use of student login information, ensuring students are not able to easily view others’ device screens, checking student devices to ensure that the test engine is operating properly.*
- *“Materials” includes, but is not limited to, the following types of computer-based assessment materials: assessments stored on a local caching server, student login information, and test tickets.*

**Data and Test Results – Completion of this section is required for all roles**

\_\_\_\_ All school and district personnel shall maintain the security and confidentiality of electronic test data files, individual student reports, and other testing reports designated as secure. 4 AAC 06.765(g)

Read **and initial** each line below. *By initialing I am verifying that I have read and agree to follow these procedures as they apply to my district testing personnel duties.*

**Secure Testing Practices – Completion of this section is required for all roles**

- \_\_\_\_ School personnel at a school test center shall ensure that no individual receives a copy of the test, or learns of a specific test question or item, before the test date and time set by the commissioner under 4 AAC 06.765(b), unless knowledge of the question or item is necessary for delivery of accommodations under 4 AAC 06.775. 4 AAC 06.765(d)(7)
- \_\_\_\_ School personnel at a school test center shall ensure that **no** test or test question is copied, or reproduced in any manner, by an examinee or anyone else. 4 AAC 06.765(d)(5)
- \_\_\_\_ School and district personnel responsible for test administration shall ensure that all district staff involved in testing read and follow all testing procedures and manuals published by the test publisher, unless instructed otherwise by the department. 4 AAC 06.765(f)(3)
- \_\_\_\_ School personnel at a school test center shall ensure that examinees use only those reference materials allowed by the test publisher's testing procedures. 4 AAC 06.765(d)(6)
- \_\_\_\_ School personnel at a school test center shall ensure that no examinee is assisted in responding to or review of specific test questions or items before, during, or after a test session. 4 AAC 06.765(d)(10)
- \_\_\_\_ Test questions may be disclosed to a student on the date/time specified by the commissioner, testing personnel in the course of fulfilling their duties, and a test administrator if necessary to fulfill duties regarding the delivery of accommodation. 4 AAC 06.765(b) **Note: Testing personnel may not read test items aloud or silently to themselves or to another individual unless specifically required to provide an accommodation to an individual or student group.**
- \_\_\_\_ School personnel at a school test center shall ensure that **no** test or test question is **paraphrased** in any manner by an examinee or anyone else. 4 AAC 06.765(d)(5)
- \_\_\_\_ School personnel at a school test center shall ensure that examinees do not exchange information during a test, except when the test procedure so specifies. 4 AAC 06.765(d)(8)
- \_\_\_\_ School personnel at a school test center shall ensure that an examinee's answer is not altered after testing is completed. 4 AAC 06.765(d)(9)

**Accommodations – Completion of this section is required for all roles**

- \_\_\_\_ A student with a disability must take all regular statewide assessments required under 4 AAC 06.710, with or without accommodations, at the student's assigned grade level. A district and a student's IEP or section 504 team shall follow the *Participation Guidelines for Inclusion of Alaska Students in State Assessments* when making decisions regarding accommodations for a student, and a district shall provide the accommodations requested by the student's IEP or section 504 team. 4 AAC 06.775(c)
- \_\_\_\_ Any district staff tasked with administering accommodations to any student must read the *Participation Guidelines for Inclusion of Alaska Students in State Assessments* prior to administering the assessment to a student. 4 AAC 06.775(a)
- \_\_\_\_ If a student's IEP requires a modification that violates test security, the modification will be provided only if it does not affect test security for any students other than the student who requires the modification. **A modification that violates test security results in an invalid assessment and the assessment will not be scored.** 4 AAC 06.765(h)
- \_\_\_\_ A district shall appoint a team that includes, if practicable, a teacher with experience in teaching students who are English learners to determine the necessary accommodations for English learners under the department's *Participation Guidelines for Inclusion of Alaska Students in State Assessments*, adopted by reference in 4 AAC 06.775(a). The team shall document the accommodation decision and may not provide a modification. 4 AAC 06.776(b)

Read **and initial** each line below. *By initialing I am verifying that I have read and agree to follow these procedures as they apply to my district testing personnel duties.*

**English Language Proficiency (ELP) Assessment – Completion of this section is required for roles pertaining to the ELP assessments. All other roles, please put N/A.**

\_\_\_\_ All test administrators for the Kindergarten ACCESS, ACCESS for ELLs, and the Alternate ACCESS assessments must successfully complete the online certification training provided by WIDA prior to administering the assessments. 4 AAC 06.765(f)(2)

\_\_\_\_ District and school personnel will ensure that students identified as an English Learner (EL) do not receive direct or indirect linguistic support accommodations while taking any of the ACCESS assessments. 4 AAC 06.775(a); *Participation Guidelines for Inclusion of Alaska Students in State Assessments*

**Alternate Assessment – Completion of this section is required for roles pertaining to the Alternate Assessment. All other roles, please put N/A.**

\_\_\_\_ All test administrators for the alternate assessment must successfully complete either the online certification training provided by DLM or a district-facilitated training prior to administering the assessments. 4 AAC 06.765(f)(2)(3)

The alternate assessment design requires testing personnel to perform tasks that are considered test security breaches for all other assessments. DTCs and all testing personnel administering the alternate assessment must read and sign the exceptions to regulations listed below to indicate that they understand:

(a) that these exceptions apply only to the alternate assessment, and

(b) that the alternate assessment relies upon these actions being carried out according to vendor directions.

**Secure Testing Practices: Alternate Assessment**

\_\_\_\_ Test administrators may have access to some test items on the alternate assessments prior to test administration for the purpose of providing direct student supports as documented in the IEP. *Related Regulation: School personnel at a school test center shall ensure that no individual receives a copy of the test, or learns of a specific test question or item, before the test date and time set by the commissioner, unless knowledge of the question or item is necessary for delivery of accommodations under 4 AAC 06.775. 4 AAC 06.765(d)(7)*

\_\_\_\_ Test administrators may read aloud test items to the student following the guidelines provided in the assessments. *Related Regulation: Testing personnel shall NOT read test items aloud, silently, or to another individual, unless specified in the test administration directions or specifically required to provide an accommodation to an individual or student group. 4 AAC 06.765(b)(4)*

\_\_\_\_ Any hard copies of test materials prepared for administration of an alternate assessment must be kept securely. Within one week of the test window closure, these materials must be securely destroyed, including deletion of files from computers. *Related Regulation: School personnel at a school test center shall ensure that no test or test question is copied, or reproduced in any manner by an examinee or anyone else. 4 AAC 06.765(d)(5)*

Read **and initial** each line below. *By initialing I am verifying that I have read and agree to follow these procedures as they apply to my district testing personnel duties.*

**Assurances:**

\_\_\_\_ I have read and I understand regulations 4 AAC 06.761 Test Administration and 4 AAC 06.765 Test security; consequences of breach (found on pages 7-9 of this document).

\_\_\_\_ I understand that in fulfilling obligations to the public, as an educator I shall cooperate in the statewide student assessment system established under 4 AAC 06.710 - 4 AAC 06.790 by safeguarding and maintaining the confidentiality of test materials and information. 20 AAC 10.020(c)(3)

\_\_\_\_ Under 4 AAC 06.765(e), as a teacher holding a certificate issued under 4 AAC 12, I understand that my actions may be subject to investigation and adjudication by the Professional Teaching Practices Commission if I violate any of the provisions detailed in regulation or testing procedures/manual published by test publisher. (4 AAC 06.765(f)(3))  
*(If you do not possess a teaching certificate, please write N/A)*

\_\_\_\_ I understand that I am obligated to immediately report any breach of test security to the District Test Coordinator. 4 AAC 06.765(h)

*All employees must submit this TSA to their District Test Coordinator or other designated staff prior to receiving any materials or administering any assessments.*

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
First and last name typed or printed clearly

**4 AAC 06.761. Test administration**

(a) Unless an assessment is specifically exempted, or the department has approved in writing a different process, a district shall administer the statewide assessments described in 4 AAC 06.710 in conformance with the requirements of this section.

(b) A district shall administer an assessment under this section only in a school test center designated by each district. Each school test center must be secure, free of disruptions, have an established seating arrangement, and be well lighted. Only designated district staff may be in the test center rooms at the time of testing students.

(c) Each district shall designate an employee of the district as the district test coordinator. In districts with two or more school test centers, the district shall designate an on-site building test coordinator for each center. The district test coordinator or building test coordinator shall assign as many test administrators to each school test center as necessary to ensure adequate supervision or monitoring of students taking the assessment. Each district test coordinator, building test coordinator, and test administrator must execute an agreement, on a form provided by the department, affirming that the test procedures of the department and test publisher will be followed.

**4 AAC 06.765. Test security; consequences of breach**

(a) All test questions on standards-based tests provided for in 4 AAC 06.737, the alternate assessment taken under 4 AAC 06.775 and the English language proficiency assessments provided for in 4 AAC 34.055 are confidential, and may be disclosed only as provided in this section.

(b) Test questions may be disclosed to

(1) a student on the date and at the time specified by the commissioner, to the extent necessary to administer the test;

(2) testing personnel in the course of fulfilling their duties;

(3) a department official as required for the performance of that official's duties; and

(4) a test administrator if necessary to fulfill duties under 4 AAC 06.775 regarding the delivery of accommodations.

(c) School and district personnel responsible for test administration shall

(1) inventory and track secure materials from the time the materials arrive at the school or district office until the time the materials are returned to the test publisher;

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- (2) securely store secure materials before distribution to school test centers and after their return;
- (3) control distribution of secure materials to and from school test centers;
- (4) control the storage, distribution, administration, and collection of secure materials;
- (5) ensure that no test or test question is copied in any manner, whether on paper or by electronic means.

(d) School personnel at a school test center shall

- (1) label the tests according to test administration directions before testing;
- (2) inventory and track test materials from the time the materials arrive at the school until the time the materials are returned to the district;
- (3) securely store tests before and after each testing session;
- (4) control distribution of tests within the school test center;
- (5) ensure that no test or test question is copied, reproduced, or paraphrased in any manner by an examinee or anyone else;
- (6) ensure that examinees use only those reference materials allowed by the test publisher's testing procedures;
- (7) ensure that no individual receives a copy of the test, or learns of a specific test question or item, before the test date and time set by the commissioner under [4 AAC 06.765\(b\)](#), unless knowledge of the question or item is necessary for delivery of accommodations under [4 AAC 06.775](#);
- (8) ensure that examinees do not exchange information during a test, except when the test procedure so specifies;
- (9) ensure that an examinee's answer is not altered after testing is completed;
- (10) ensure that no examinee is assisted in responding to or review of specific test questions or items before, during, or after a test session.

(e) A teacher holding a certificate issued under [4 AAC 12](#) who breaches security as described in this section is subject to investigation and adjudication by the Professional Teaching Practices Commission.

(f) School and district personnel responsible for test administration shall



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(1) annually execute an agreement, on a form provided by the department, affirming that they will follow the test procedures required under this section;

(2) provide training in test procedures to all district staff involved in testing as directed by the department, and ensure that staff complete the training;

(3) ensure that all district staff involved in testing read and follow all testing procedures and manuals published by the test publisher, unless instructed otherwise by the department.

(g) All school and district personnel shall maintain the security and confidentiality of electronic test data files, individual student reports, and other testing reports designated as secure.

(h) District personnel in charge of testing shall immediately report any breach of test security to the department. If a student's IEP requires a modification that violates test security under this section, the modification will be provided only if it does not affect test security for any students other than the student who requires the modification. A modification that violates test security under this section results in an invalid assessment and the assessment will not be scored.